

1741

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## TRANSMITTAL FORM

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Total Number Of Pages In This Submission

9

Application Number	09/837,911
Filing Date	April 18, 2001
First Named Inventor	Hui WANG
Group Art Unit	1741
Examiner Name	To Be Assigned

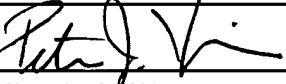
Attorney Docket No. 495152000111

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<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declarations	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Prosecution by Assignee & Power of Attorney Under 37 CFR 3.71 (3 Pages)	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Statement Under 37 CFR 3.73(b) w/copy of Assignment Documents (5 Pages) Return Receipt Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

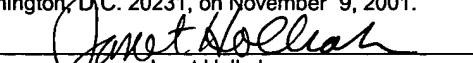
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### SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual Name	Peter J. Yim (Reg. No. 44,417) Morrison & Foerster LLP 425 Market Street San Francisco, California 94105-2482
Signature	
Date	November 9, 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Hui WANG

Serial No.: 09/837,911

Filing Date: April 18, 2001

For: PLATING APPARATUS AND  
METHOD

Examiner: To Be Assigned

Group Art Unit: 1741

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**PROSECUTION BY ASSIGNEE AND POWER OF ATTORNEY  
UNDER 37 C.F.R. § 3.71**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

ACM RESEARCH, INC., the assignee of the entire right, title and interest in this patent application, under 37 C.F.R. § 3.71 hereby appoints:

Lisa A. Amii (Reg No. 48,199)  
Mehran Arjomand (Reg No. 48,231)  
Sanjay S. Bagade (Reg No. 42,280)  
Shantanu Basu (Reg No. 43,318)  
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Alex Chartove (Reg No. 31,942)  
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Peter J. Yim (Reg No. 44,417)  
Karen R. Zachow (Reg No. 46,332)

all of Morrison & Foerster LLP, 425 Market Street, San Francisco, California 94105-2482, telephone (415) 268-7000, to prosecute this application and transact all matters in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorneys in accordance with the provisions of 37 C.F.R. § 3.71 provided that if any one of said attorneys or agents ceases being affiliated with the law firm of Morrison & Foerster as partner, employee or of counsel, such attorney's or agent's appointment as attorney or agent and all powers derived therefrom shall terminate on the date such attorney or agent ceases being so affiliated.

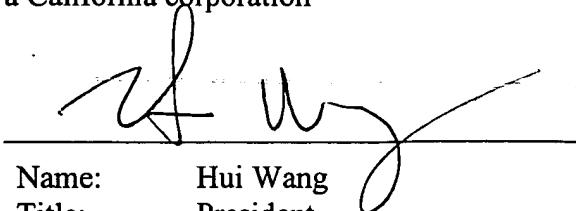
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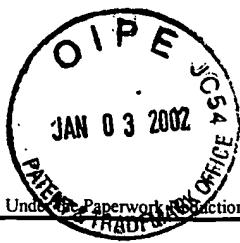
Peter J. Yim  
Morrison & Foerster LLP  
425 Market Street  
San Francisco, California 94105-2482

Please direct all telephone communications to Peter J. Yim at (415) 268-6373.

ACM RESEARCH, INC.  
a California corporation

Dated: Nov. 5, 2001

  
Name: Hui Wang  
Title: President  
Address: ACM RESEARCH, INC.



PTO/SB/96 (08-00)

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Hui WANG  
Application No./Patent No.: 09/837,911  
Entitled: PLATING APPARATUS AND METHOD  
ACM RESEARCH, INC., a \_\_\_\_\_

(Name of Assignee)

Filed/Issue Date: April 18, 2001

corporation of California

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- the assignee of the entire right, title, and interest; or
- an assignee of less than the entire right, title and interest.

The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

A. [x] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 011725, Frame 0681, or for which a copy thereof is attached.

OR

B. [ ] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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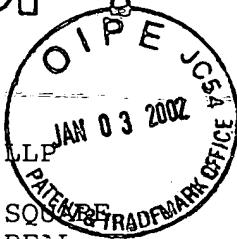
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Nov. 5, 2001  
Date

Hui Wang  
Signature  
President  
Title

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JULY 10, 2001

COOLEY GODWARD LLP  
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RECORDATION DATE: 04/16/2001

REEL/FRAME: 011725/0681  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNEE'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNEE:  
WANG, HUI

DOC DATE: 01/14/1999

ASSIGNEE:  
ACM RESEARCH, INC.  
43236 CHRISTY STREET  
FREMONT, CALIFORNIA 94538

SERIAL NUMBER: 09837911  
PATENT NUMBER:

18  
FILING DATE: 04/16/2001  
ISSUE DATE:

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TARA WASHINGTON, EXAMINER  
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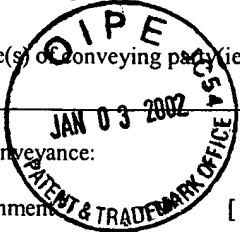
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1. Name of conveying party(ies):  
Hui WANG



Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of Conveyance:

Assignment  Merger  
 Security Agreement  Change of Name  
 Other:

Execution Date: January 14, 1999

2. Name and address of receiving party(ies):

ACM Research, Inc.  
43236 Christy Street  
Fremont, California 94538

Additional name(s) attached?  Yes  No

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is: January 14, 1999

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached?

Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Patent Group  
Cooley Godward LLP  
Five Palo Alto Square  
3000 El Camino Real  
Palo Alto, CA 94306-2155

6. Total number of application and patents involved: 1

7. Total Fee (37 CFR 3.41).....\$40.00

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Reg. No.: 33,885

Name of Person Signing: William S. Galliani

Signature: [Signature]

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Date: 18 April 2001 By: Vladimir Skliba

Vladimir Skliba

## ASSIGNMENT

**Whereas**, Hui Wang residing at 38855 Litchfield Court, Fremont, California 94536; (hereinafter referred to individually and collectively as "Inventor") have made an invention relating to certain new and useful improvements in:

### PLATING APPARATUS AND METHOD

and executed therefor an Application for Letters Patent of the United States and

- [  ] having an oath or declaration executed on even date herewith;
- [        ] bearing Serial No. \_\_\_\_ and filed on \_\_\_\_
- [        ] issued as a Patent No. \_\_\_\_ on \_\_\_\_

**Whereas**, ACM Research, Inc. (hereinafter "Assignee"), a corporation of California, and having a principal place of business at 43236 Christy Street, Fremont, California 94538, is desirous of acquiring the entire right, title, and interest in and to said invention, said Application, and the Letters Patent to be obtained therefor:

**Now, therefore**, for and in consideration of One Dollar and other good and valuable considerations, to Inventor in hand paid, the receipt and sufficiency whereof are hereby acknowledged, Inventor has sold, assigned, and set over and by these presents does hereby sell, assign, and set over unto Assignee and Assignee's legal representatives, successors and assigns, the entire right, title, and interest in and to said invention, said Application and any other application, domestic or foreign, that claims said invention, as well as any Letters Patent, domestic or foreign, that may or shall issue thereon; and Inventor does hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the above-mentioned Assignee agreeably with the terms of this Assignment.

The terms "Application" and "Application for Letters Patent" as used herein include both provisional and non-provisional applications.

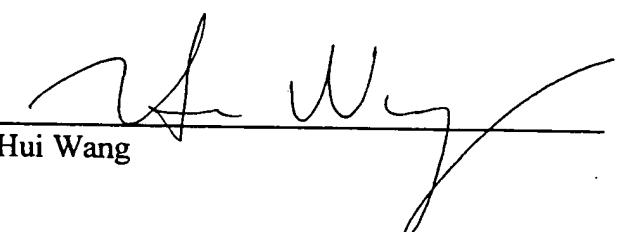
Inventor hereby authorizes the above-mentioned Assignee or its legal representative to insert in this instrument the filing date and serial number of said Application or any other information that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

**Upon said consideration, Inventor conveys to Assignee the right to make application in its own behalf for protection of said invention in countries foreign to the United States and where expedient to claim under the International Convention or other**

international arrangement for any such application the date of the United States application (or other application if any there be) in priority to other applications; and **Inventor does hereby covenant** and agree with Assignee that Inventor will not execute any writing or do any act whatsoever conflicting with these presents, and that Inventor will at any time upon request, without further or additional consideration, but at the expense of Assignee, execute such additional assignments and other writings and do such additional acts as Assignee may deem necessary or desirable to perfect Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuation, continuation-in-part, renewal, reexamined, reissued or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or chose in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind and inure to the benefit of the assigns and legal representatives of both parties.

Date: January 14, 1999

By:

  
Hui Wang